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# Branching formula for Macdonald–Koornwinder polynomials <sup>☆</sup>



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## ABSTRACT

We present an explicit branching formula for the six-parameter Macdonald–Koornwinder polynomials with hyperoctahedral symmetry.

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## 1. Introduction

Branching formulas constitute a powerful tool in algebraic combinatorics providing a recursive scheme to build symmetric polynomials via induction in the number of variables [11,10]. The combinatorial aspects of the hyperoctahedral-symmetric

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Macdonald–Koornwinder polynomials [12,9] were explored in seminal works of Okounkov and Rains [14,15]. In particular, the structure of a branching formula for the Macdonald–Koornwinder polynomials has been outlined at the end of [15, Sec. 5]. The aim of the present note is to make the branching polynomials under consideration explicit. Following the ideas underlying the proof of the branching formula for the Macdonald polynomials [11, Ch. VI.7], our main tools to achieve this goal consist of: Mimachi’s Cauchy formula for the Macdonald–Koornwinder polynomials [13], (a special ‘column–row’ case of) the Cauchy formula for Okounkov’s hyperoctahedral interpolation polynomials [14], and explicitly known Pieri coefficients for the Macdonald–Koornwinder polynomials [3,5].

The material is structured as follows. After recalling some necessary preliminaries regarding the Macdonald–Koornwinder polynomials and their Pieri formulas in Section 2, our branching formula is first stated in Section 3 and then proven in Section 4.

## 2. Preliminaries

### 2.1. Macdonald–Koornwinder polynomials [9]

For a partition

$$\lambda \in \Lambda_n := \{(\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n \mid \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0\}, \tag{2.1}$$

the monic Macdonald–Koornwinder polynomial  $P_\lambda(z_1, \dots, z_n; q, t, \mathbf{t})$  is a Laurent polynomial in the complex variables  $z_1, \dots, z_n$  that depends rationally on the parameters  $q, t$  and  $\mathbf{t} := (t_0, t_1, t_2, t_3)$ . It is determined by a leading monomial of the form  $z_1^{\lambda_1} z_2^{\lambda_2} \dots z_n^{\lambda_n}$ , while being symmetric with respect to the action of the hyperoctahedral group  $W = S_n \times \mathbb{Z}_2^n$  by permutations and inversions of the variables. For real parameter values in the domain  $0 < q, |t|, |t_l| < 1$  ( $l = 0, 1, 2, 3$ ), the Macdonald–Koornwinder polynomials form an orthogonal system on the  $n$ -dimensional torus  $|z_j| = 1, j = 1, \dots, n$ . The orthogonality measure is given by Gustafson’s  $q$ -Selberg type density [6]

$$\Delta = \prod_{1 \leq j \leq n} \frac{(z_j^2, z_j^{-2}; q)_\infty}{\prod_{0 \leq l \leq 3} (t_l z_j, t_l z_j^{-1}; q)_\infty} \prod_{1 \leq j < k \leq n} \frac{(z_j z_k, z_j z_k^{-1}, z_j^{-1} z_k, z_j^{-1} z_k^{-1}; q)_\infty}{(t z_j z_k, t z_j z_k^{-1}, t z_j^{-1} z_k, t z_j^{-1} z_k^{-1}; q)_\infty}$$

with respect to the Haar measure on this torus. Here and below we employ standard conventions for the  $q$ -Pochhammer symbols:  $(a; q)_k := (1 - a)(1 - aq) \dots (1 - aq^{k-1})$  (with  $(a; q)_0 := 1$ ) and  $(a_1, \dots, a_l; q)_k := (a_1; q)_k \dots (a_l; q)_k$ .

### 2.2. Pieri coefficients [3,5,16]

The  $W$ -invariant Laurent polynomials

$$E_r(z_1, \dots, z_n; t, t_0) = \sum_{1 \leq j_1 < \dots < j_r \leq n} \langle z_{j_1}; t^{j_1-1} t_0 \rangle \dots \langle z_{j_r}; t^{j_r-r} t_0 \rangle \tag{2.2}$$

( $r = 1, \dots, n$ ), with  $\langle z; x \rangle := z + z^{-1} - x - x^{-1}$ , are special instances of Okounkov’s hyperoctahedral interpolation polynomials [14]—with shifted variables as considered by Rains [15]—that correspond to the partitions with only a single column [8]. They describe the eigenvalues of commuting difference operators diagonalized by the Macdonald–Koornwinder polynomials [2] and are also instrumental in Ito’s Aomoto-style proof [7] of the hyperoctahedral  ${}_6\Psi_6$  sum evaluated in Ref. [4].

Let  $C_{\lambda,r}^{\mu,n}(q, t, \mathbf{t})$  denote the coefficients in the Macdonald–Koornwinder Pieri-expansions associated with these one-column interpolation polynomials:

$$E_r(z_1, \dots, z_n; t, t_0)P_\lambda(z_1, \dots, z_n; q, t, \mathbf{t}) = \sum_{\substack{\mu \in \Lambda_n \\ \mu \sim_r \lambda}} C_{\lambda,r}^{\mu,n}(q, t, \mathbf{t})P_\mu(z_1, \dots, z_n; q, t, \mathbf{t}), \tag{2.3}$$

$r = 1, \dots, n$ . To filter only the nonvanishing coefficients, we have employed the following proximity relation within  $\Lambda_n$  restricting the sum on the RHS:  $\mu \sim_r \lambda$  iff there exists a partition  $\nu \in \Lambda_n$  with  $\nu \subset \lambda$  and  $\nu \subset \mu$  such that the skew diagrams  $\lambda/\nu$  and  $\mu/\nu$  are vertical strips with  $|\lambda/\nu| + |\mu/\nu| \leq r$ . Here  $|\cdot|$  refers to the number of boxes of the diagram,  $\nu \subset \lambda$  means that  $\nu \in \Lambda_n$  is contained in  $\lambda$ :  $\nu_j \leq \lambda_j$  ( $j = 1, \dots, n$ ), and (recall) the skew diagram  $\lambda/\nu$  is a vertical strip iff  $\nu_j \leq \lambda_j \leq \nu_j + 1$  ( $j = 1, \dots, n$ ).

Upon writing  $J = \{1 \leq j \leq n \mid \lambda_j \neq \mu_j\}$ ,  $J^c = \{1, \dots, n\} \setminus J$ , and  $\epsilon_j = \mu_j - \lambda_j$  for  $j \in J$  (so, if  $\mu \sim_r \lambda$  the cardinality  $|J|$  of  $J$  is at most  $r$  and  $\epsilon_j \in \{1, -1\}$ ), one can express the Pieri coefficients in question explicitly as follows:

$$C_{\lambda,r}^{\mu,n}(q, t, \mathbf{t}) = \frac{P_\lambda(\tau_1, \dots, \tau_n; q, t, \mathbf{t})}{P_\mu(\tau_1, \dots, \tau_n; q, t, \mathbf{t})} V_{\epsilon J}^n(\lambda; q, t, \mathbf{t}) U_{J^c, r-|J|}^n(\lambda; q, t, \mathbf{t}), \tag{2.4}$$

where

$$\begin{aligned} P_\lambda(\tau_1, \dots, \tau_n; q, t, \mathbf{t}) &= \prod_{1 \leq j \leq n} \frac{\prod_{0 \leq l \leq 3} (\hat{t}_l \hat{\tau}_j; q)_{\lambda_j}}{\tau_j^{\lambda_j} (\hat{\tau}_j^2; q)_{2\lambda_j}} \prod_{1 \leq j < k \leq n} \frac{(t \hat{\tau}_j \hat{\tau}_k; q)_{\lambda_j + \lambda_k} (t \hat{\tau}_j \hat{\tau}_k^{-1}; q)_{\lambda_j - \lambda_k}}{(\hat{\tau}_j \hat{\tau}_k; q)_{\lambda_j + \lambda_k} (\hat{\tau}_j \hat{\tau}_k^{-1}; q)_{\lambda_j - \lambda_k}}, \\ V_{\epsilon J}^n(\lambda; q, t, \mathbf{t}) &= \prod_{j \in J} \frac{\prod_{0 \leq l \leq 3} (1 - \hat{t}_l \hat{\tau}_j^{\epsilon_j} q^{\epsilon_j \lambda_j})}{t_0 (1 - \hat{\tau}_j^{2\epsilon_j} q^{2\epsilon_j \lambda_j}) (1 - \hat{\tau}_j^{2\epsilon_j} q^{2\epsilon_j \lambda_j + 1})} \\ &\times \prod_{\substack{j, j' \in J \\ j < j'}} \frac{(1 - t \hat{\tau}_j^{\epsilon_j} \hat{\tau}_{j'}^{\epsilon_{j'}} q^{\epsilon_j \lambda_j + \epsilon_{j'} \lambda_{j'}}) (1 - t \hat{\tau}_j^{\epsilon_j} \hat{\tau}_{j'}^{\epsilon_{j'}} q^{\epsilon_j \lambda_j + \epsilon_{j'} \lambda_{j'} + 1})}{t (1 - \hat{\tau}_j^{\epsilon_j} \hat{\tau}_{j'}^{\epsilon_{j'}} q^{\epsilon_j \lambda_j + \epsilon_{j'} \lambda_{j'}}) (1 - \hat{\tau}_j^{\epsilon_j} \hat{\tau}_{j'}^{\epsilon_{j'}} q^{\epsilon_j \lambda_j + \epsilon_{j'} \lambda_{j'} + 1})} \\ &\times \prod_{j \in J, k \in J^c} \frac{(1 - t \hat{\tau}_j^{\epsilon_j} \hat{\tau}_k q^{\epsilon_j \lambda_j + \lambda_k}) (1 - t \hat{\tau}_j^{\epsilon_j} \hat{\tau}_k^{-1} q^{\epsilon_j \lambda_j - \lambda_k})}{t (1 - \hat{\tau}_j^{\epsilon_j} \hat{\tau}_k q^{\epsilon_j \lambda_j + \lambda_k}) (1 - \hat{\tau}_j^{\epsilon_j} \hat{\tau}_k^{-1} q^{\epsilon_j \lambda_j - \lambda_k})}, \end{aligned}$$

and

$$\begin{aligned}
 U_{K,p}^n(\lambda; q, t, \mathbf{t}) &= (-1)^p \sum_{\substack{I \subset K, |I|=p \\ \epsilon_i \in \{1, -1\}, i \in I}} \left( \prod_{i \in I} \frac{\prod_{0 \leq l \leq 3} (1 - \hat{t}_l \hat{\tau}_i^{\epsilon_i} q^{\epsilon_i \lambda_i})}{t_0 (1 - \hat{\tau}_i^{2\epsilon_i} q^{2\epsilon_i \lambda_i}) (1 - \hat{\tau}_i^{2\epsilon_i} q^{2\epsilon_i \lambda_i + 1})} \right) \\
 &\times \prod_{\substack{i, i' \in I \\ i < i'}} \frac{(1 - t \hat{\tau}_i^{\epsilon_i} \hat{\tau}_{i'}^{\epsilon_{i'}} q^{\epsilon_i \lambda_i + \epsilon_{i'} \lambda_{i'}}) (1 - t^{-1} \hat{\tau}_i^{\epsilon_i} \hat{\tau}_{i'}^{\epsilon_{i'}} q^{\epsilon_i \lambda_i + \epsilon_{i'} \lambda_{i'} + 1})}{(1 - \hat{\tau}_i^{\epsilon_i} \hat{\tau}_{i'}^{\epsilon_{i'}} q^{\epsilon_i \lambda_i + \epsilon_{i'} \lambda_{i'}}) (1 - \hat{\tau}_i^{\epsilon_i} \hat{\tau}_{i'}^{\epsilon_{i'}} q^{\epsilon_i \lambda_i + \epsilon_{i'} \lambda_{i'} + 1})} \\
 &\times \prod_{i \in I, k \in K \setminus I} \left( \frac{(1 - t \hat{\tau}_i^{\epsilon_i} \hat{\tau}_k q^{\epsilon_i \lambda_i + \lambda_k}) (1 - t \hat{\tau}_i^{\epsilon_i} \hat{\tau}_k^{-1} q^{\epsilon_i \lambda_i - \lambda_k})}{t (1 - \hat{\tau}_i^{\epsilon_i} \hat{\tau}_k q^{\epsilon_i \lambda_i + \lambda_k}) (1 - \hat{\tau}_i^{\epsilon_i} \hat{\tau}_k^{-1} q^{\epsilon_i \lambda_i - \lambda_k})} \right)
 \end{aligned}$$

for  $p = 0, \dots, |K|$  (with the convention that  $V_{\epsilon_J}^n(\lambda; q, t, \mathbf{t}) = 1$  if  $J$  is empty and  $U_{K,p}^n(\lambda; q, t, \mathbf{t}) = 1$  if  $p = 0$ ). Here

$$\tau_j = t^{n-j} t_0, \quad \hat{\tau}_j = t^{n-j} \hat{t}_0 \quad (j = 1, \dots, n),$$

and

$$\hat{t}_0^2 = q^{-1} t_0 t_1 t_2 t_3, \quad \hat{t}_0 \hat{t}_l = t_0 t_l \quad (l = 1, 2, 3).$$

**Remark 1.** Below we will employ a trivially extended notion of  $E_r(z_1, \dots, z_n; t, t_0)$  and  $C_{\lambda,r}^{\mu,n}(q, t, \mathbf{t})$  that allows  $r$  and  $n$  to become equal to zero. By convention  $E_0(z_1, \dots, z_n; t, t_0) := 1$ , whence for the corresponding coefficients  $C_{\lambda,0}^{\mu,n}(q, t, \mathbf{t}) = 1$  if  $\mu = \lambda$  and vanishes otherwise.

### 3. Branching formula

Let us recall that for  $\mu \subset \lambda \in \Lambda_n$ , the skew diagram  $\lambda/\mu$  is a horizontal strip provided the parts of  $\lambda$  and  $\mu$  interlace as follows:

$$\lambda_1 \geq \mu_1 \geq \lambda_2 \geq \mu_2 \geq \dots \geq \lambda_n \geq \mu_n.$$

We will need the following relation in  $\Lambda_n$  expressing that the partition  $\lambda$  can be obtained from  $\mu \subset \lambda$  by adding at most two horizontal strips:  $\mu \preceq \lambda$  iff there exists a  $\nu \in \Lambda_n$  with  $\mu \subset \nu \subset \lambda$  such that the skew diagrams  $\lambda/\nu$  and  $\nu/\mu$  are horizontal strips. From now on we will think of  $\Lambda_n$  as being embedded in  $\Lambda_{n+1}$  in the natural way (i.e. ‘by adding a part of size zero’). The main result of this note is given by the following branching formula for the Macdonald–Koornwinder polynomials, the proof of which is delayed until Section 4 below.

We denote by  $m^n \in \Lambda_n$  the rectangular partition such that  $(m^n)_j = m$  ( $j = 1, \dots, n$ ) and—more generally—by  $m^n - \mu$  with  $\mu \subset m^n$  the partition such that  $(m^n - \mu)_j = m - \mu_{n+1-j}$  ( $j = 1, \dots, n$ ). Finally, we write  $\lambda' \in \Lambda_m$  ( $m \geq \lambda_1$ ) for the conjugate partition of  $\lambda \in \Lambda_n$ , i.e. with  $\lambda'_i$  counting the number of parts of  $\lambda$  that are greater or equal than  $i$  ( $i = 1, \dots, m$ ).

**Theorem 1** (*Branching formula*). For  $\lambda \in \Lambda_{n+1}$ , the Macdonald–Koornwinder polynomial in  $(n + 1)$  variables expands in terms of the  $n$ -variable polynomials as

$$P_\lambda(z_1, \dots, z_n, x; q, t, \mathbf{t}) = \sum_{\substack{\mu \in \Lambda_n \\ \mu \preceq \lambda}} P_\mu(z_1, \dots, z_n; q, t, \mathbf{t}) P_{\lambda/\mu}(x; q, t, \mathbf{t}), \tag{3.1a}$$

with one-variable branching polynomials of degree  $d = |\{1 \leq j \leq m \mid \lambda'_j = \mu'_j + 1\}|$  whose expansion

$$P_{\lambda/\mu}(x; q, t, \mathbf{t}) = \sum_{0 \leq k \leq d} B_{\lambda/\mu}^k(q, t, \mathbf{t}) \langle x; t_0 \rangle_{q,k} \tag{3.1b}$$

in the basis of the interpolation polynomials in one variable

$$\langle x; t_0 \rangle_{q,k} := \langle x; t_0 \rangle \langle x; qt_0 \rangle \cdots \langle x; q^{k-1}t_0 \rangle \quad (\text{with } \langle x; t_0 \rangle_{q,0} := 1) \tag{3.1c}$$

has coefficients that are given explicitly by the Macdonald–Koornwinder Pieri coefficients in  $m = \lambda_1$  variables:

$$B_{\lambda/\mu}^k(q, t, \mathbf{t}) = (-1)^{k+|\lambda|-|\mu|} C_{n^m-\mu', m-k}^{(n+1)^m-\lambda', m}(t, q, \mathbf{t}) \quad (k = 0, \dots, d). \tag{3.1d}$$

It is well-known [9] that in the case of only a single variable the Macdonald–Koornwinder polynomials reduce to the five-parameter monic Askey–Wilson polynomials  $P_m(z; q, \mathbf{t})$ ,  $m = 0, 1, 2, \dots$  [1]. On the other hand, if we formally set  $n = 0$  and  $\mu = 0$  then (the proof of) Theorem 1 remains valid. This gives rise to the following expansion of the Askey–Wilson polynomials in terms of the one-variable interpolation polynomials  $\langle x; t_0 \rangle_{q,k}$ .

**Corollary 2** (*Askey–Wilson polynomials*). The monic Askey–Wilson polynomial of degree  $m$  is given by

$$P_m(z; q, \mathbf{t}) = \sum_{0 \leq k \leq m} B_{m/0}^k(q, \mathbf{t}) \langle z; t_0 \rangle_{q,k} \tag{3.2a}$$

with

$$B_{m/0}^k(q, \mathbf{t}) = (-1)^{m+k} C_{0^m, m-k}^{0^m, m}(t, q, \mathbf{t}). \tag{3.2b}$$

This formula for the Askey–Wilson polynomials amounts to the  $n = 1$  case of Okounkov’s binomial formula for the Macdonald–Koornwinder polynomials [14, Thm. 7.1] with the binomial coefficients written explicitly in terms of the  $m$ -variable Macdonald–Koornwinder Pieri coefficients. Notice that since the Askey–Wilson polynomials on the LHS are independent of  $t$ , it follows that the  $t$ -dependence of the corresponding branching coefficients drops out as well. In this special situation, alternative expressions for the relevant binomial coefficients are available in a much more compact form [15, Prp. 4.1]

and the binomial formula is in fact seen to reduce to the usual  ${}_4\phi_3$  representation of the Askey–Wilson polynomial [8, p. 25].

By iterating the branching formula in Theorem 1, one finds the general Macdonald–Koornwinder branching polynomial as a sum of factorized contributions over ascending chains of partitions.

**Corollary 3** (*Branching polynomials*). *For  $\lambda \in \Lambda_{n+l}$ , one has that*

$$P_\lambda(z_1, \dots, z_n, x_1, \dots, x_l; q, t, \mathbf{t}) = \sum_{\substack{\mu^{(i)} \in \Lambda_{n+i}, i=0, \dots, l \\ \mu = \mu^{(0)} \preceq \mu^{(1)} \preceq \dots \preceq \mu^{(l)} = \lambda}} P_\mu(z_1, \dots, z_n; q, t, \mathbf{t}) \prod_{1 \leq i \leq l} P_{\mu^{(i)}/\mu^{(i-1)}}(x_i; q, t, \mathbf{t}). \tag{3.3}$$

Setting  $n = 1$  in the latter formula, leads us to an explicit formula for the Macdonald–Koornwinder polynomials generalizing the formula for the Askey–Wilson polynomials in Corollary 2.

**Corollary 4** (*Macdonald–Koornwinder polynomials*). *For  $\lambda \in \Lambda_n$ , the monic Macdonald–Koornwinder polynomial is given by*

$$P_\lambda(z_1, \dots, z_n; q, t, \mathbf{t}) = \sum_{\substack{\mu^{(i)} \in \Lambda_i, i=1, \dots, n \\ \mu^{(1)} \preceq \mu^{(2)} \preceq \dots \preceq \mu^{(n)} = \lambda}} \prod_{1 \leq i \leq n} P_{\mu^{(i)}/\mu^{(i-1)}}(z_i; q, t, \mathbf{t}), \tag{3.4}$$

where  $P_{\mu^{(1)}/\mu^{(0)}}(z; q, t, \mathbf{t}) := P_{\mu^{(1)}}(z; q, \mathbf{t})$  (3.2a), (3.2b) by convention.

This is the analog of a classic formula for the usual permutation-symmetric Macdonald polynomials in terms of semistandard tableaux, cf. e.g. [11, Ch. VI.7] and [10, Sec. 1].

**Remark 2.** It follows from the proof in Section 4 below that the branching formula in Theorem 1 holds in fact for any  $m \geq \lambda_1$ , i.e. the expressions for the branching coefficients  $B_{\lambda/\mu}^k$  in Eq. (3.1d) do not depend on  $m \geq \lambda_1$ .

**Remark 3.** For  $x = t_0 q^h$ ,  $h = 0, 1, 2, \dots$ , the degree of the branching polynomials  $P_{\lambda/\mu}(x; q, t, \mathbf{t})$  (3.1b) remains bounded by  $h$  as the sum in question truncates beyond  $k = h$ . In particular, for  $x = t_0$  only the first (constant) term survives and the complexity of the branching coefficients reduces considerably (cf. [15, p. 100]):

$$P_{\lambda/\mu}(t_0; q, t, \mathbf{t}) = B_{\lambda/\mu}^0(q, t, \mathbf{t}) = (-1)^{|\lambda| - |\mu|} C_{n^m - \mu', m}^{(n+1)^m - \lambda', m}(t, q, \mathbf{t}). \tag{3.5}$$

#### 4. Proof of the branching formula

Let

$$\prod \langle x_1, \dots, x_m; z_1, \dots, z_n \rangle := \prod_{\substack{1 \leq i \leq m \\ 1 \leq j \leq n}} \langle x_i; z_j \rangle. \tag{4.1}$$

Mimachi’s Cauchy formula [13, Thm. 2.1] states that this kernel expands in terms of Macdonald–Koornwinder polynomials as:

$$\prod(x_1, \dots, x_m; z_1, \dots, z_n) = \sum_{\lambda \subset n^m} (-1)^{mn-|\lambda|} P_\lambda(x_1, \dots, x_m; q, t, \mathbf{t}) P_{m^n-\lambda'}(z_1, \dots, z_n; t, q, \mathbf{t}). \tag{4.2}$$

A similar expansion of the kernel at issue in terms of Okounkov’s hyperoctahedral interpolation polynomials is given by the Cauchy formula in [14, Thm. 6.2] (with shifted variables as in [15, Thm. 3.16]). For  $n = 1$ , the latter Cauchy formula becomes of the form [8, Lem. 5.1]:

$$\prod(x_1, \dots, x_m; z) = \sum_{0 \leq r \leq m} (-1)^{m-r} E_r(x_1, \dots, x_m; t, t_0) \langle z; t_0 \rangle_{t, m-r}. \tag{4.3}$$

By expanding the first two factors of the trivial identity

$$\prod(x_1, \dots, x_m; z_1, \dots, z_n, z) = \prod(x_1, \dots, x_m; z_1, \dots, z_n) \prod(x_1, \dots, x_m; z)$$

by means of Mimachi’s Cauchy formula (4.2) and the last factor by means of the ‘column–row’ case of Okounkov’s Cauchy formula in Eq. (4.3), one arrives at the equality

$$\begin{aligned} & \sum_{\lambda \subset (n+1)^m} (-1)^{m(n+1)-|\lambda|} P_\lambda(x_1, \dots, x_m; q, t, \mathbf{t}) P_{m^{n+1}-\lambda'}(z_1, \dots, z_n, z; t, q, \mathbf{t}) \\ &= \sum_{\substack{\mu \subset n^m \\ 0 \leq r \leq m}} (-1)^{m(n+1)-|\mu|-r} \left( P_{m^n-\mu'}(z_1, \dots, z_n; t, q, \mathbf{t}) \langle z; t_0 \rangle_{t, m-r} \right. \\ & \quad \left. \times E_r(x_1, \dots, x_m; t, t_0) P_\mu(x_1, \dots, x_m; q, t, \mathbf{t}) \right). \end{aligned}$$

Upon rewriting the RHS with the aid of the Pieri formula (2.3)

$$\begin{aligned} &= \sum_{\substack{\mu \subset n^m \\ 0 \leq r \leq m}} (-1)^{m(n+1)-|\mu|-r} \left( P_{m^n-\mu'}(z_1, \dots, z_n; t, q, \mathbf{t}) \langle z; t_0 \rangle_{t, m-r} \right. \\ & \quad \left. \times \sum_{\substack{\lambda \subset (n+1)^m \\ \lambda \sim_r \mu}} C_{\mu, r}^{\lambda, m}(q, t, \mathbf{t}) P_\lambda(x_1, \dots, x_m; q, t, \mathbf{t}) \right), \end{aligned}$$

and reordering the sums

$$\begin{aligned} &= \sum_{\lambda \subset (n+1)^m} (-1)^{m(n+1)-|\lambda|} \left( P_\lambda(x_1, \dots, x_m; q, t, \mathbf{t}) \right. \\ & \quad \left. \times \sum_{\substack{\mu \subset n^m, 0 \leq r \leq m \\ \mu \sim_r \lambda}} (-1)^{r+|\lambda|-|\mu|} C_{\mu, r}^{\lambda, m}(q, t, \mathbf{t}) P_{m^n-\mu'}(z_1, \dots, z_n; t, q, \mathbf{t}) \langle z; t_0 \rangle_{t, m-r} \right), \end{aligned}$$

one deduces by comparing with the LHS that for any  $\lambda \subset (n + 1)^m$ :

$$P_{m^{n+1}-\lambda'}(z_1, \dots, z_n, z; t, q, \mathbf{t}) = \sum_{\substack{\mu \subset n^m, 0 \leq r \leq m \\ \mu \sim_r \lambda}} (-1)^{r+|\lambda|-|\mu|} C_{\mu, r}^{\lambda, m}(q, t, \mathbf{t}) P_{m^n-\mu'}(z_1, \dots, z_n; t, q, \mathbf{t}) \langle z; t_0 \rangle_{t, m-r},$$

i.e. for any  $\lambda \subset m^{n+1}$ :

$$P_\lambda(z_1, \dots, z_n, z; q, t, \mathbf{t}) = \sum_{\substack{\mu \subset m^n, 0 \leq r \leq m \\ n^m-\mu' \sim_r (n+1)^m-\lambda'}} (-1)^{m-r+|\lambda|-|\mu|} C_{n^m-\mu', r}^{(n+1)^m-\lambda', m}(t, q, \mathbf{t}) P_\mu(z_1, \dots, z_n; q, t, \mathbf{t}) \langle z; t_0 \rangle_{q, m-r}. \tag{4.4}$$

To finish the proof we invoke the following lemma.

**Lemma 5.** *Let  $\lambda \subset m^{n+1}$  and  $\mu \subset m^n$ . Then  $n^m - \mu' \sim_r (n + 1)^m - \lambda'$  iff  $\mu \preceq \lambda$  and  $m - d \leq r \leq m$  with  $d = \{1 \leq j \leq m \mid \lambda'_j = \mu'_j + 1\}$ .*

**Proof.** The statement of the lemma is immediate upon combining the following two properties: (i)  $n^m - \mu' \sim_m (n + 1)^m - \lambda'$  iff  $\mu \preceq \lambda$  and (ii)  $n^m - \mu' \sim_r (n + 1)^m - \lambda'$  iff  $n^m - \mu' \sim_m (n + 1)^m - \lambda'$  and  $r \geq m - d$ .

Firstly,  $n^m - \mu' \sim_m (n + 1)^m - \lambda' \Leftrightarrow \exists \nu \subset n^m$  such that  $(n^m - \mu')/\nu$  and  $((n + 1)^m - \lambda')/\nu$  are vertical strips  $\Leftrightarrow \exists \kappa \subset m^n$  such that  $(m^n - \mu)/\kappa$  and  $(m^{n+1} - \lambda)/\kappa$  are horizontal strips  $\Leftrightarrow \exists \kappa \subset m^n$  such that  $(m^n - \kappa)/\mu$  and  $\lambda/(m^n - \kappa)$  are horizontal strips  $\Leftrightarrow \mu \preceq \lambda$ , which proves part (i).

Secondly—assuming that  $n^m - \mu' \sim_m (n + 1)^m - \lambda'$  and picking  $\nu \subset n^m$  such that  $(n^m - \mu')/\nu$  and  $((n + 1)^m - \lambda')/\nu$  are vertical strips with  $|(n^m - \mu')/\nu| + |((n + 1)^m - \lambda')/\nu|$  minimal—one has that  $|(n^m - \mu')/\nu| + |((n + 1)^m - \lambda')/\nu| \leq r \Leftrightarrow |\{1 \leq j \leq m \mid \lambda'_j \neq \mu'_j + 1\}| \leq r \Leftrightarrow m - d \leq r$ , which completes the proof of part (ii).  $\square$

The upshot is that Eq. (4.4) can be rewritten as

$$P_\lambda(z_1, \dots, z_n, z; q, t, \mathbf{t}) = \sum_{\substack{\mu \subset m^n, \mu \preceq \lambda \\ m-d \leq r \leq m}} (-1)^{m-r+|\lambda|-|\mu|} C_{n^m-\mu', r}^{(n+1)^m-\lambda', m}(t, q, \mathbf{t}) P_\mu(z_1, \dots, z_n; q, t, \mathbf{t}) \langle z; t_0 \rangle_{q, m-r}$$

and [Theorem 1](#) follows (where our choice of picking  $m$  equal to  $\lambda_1$  corresponds to the minimal value of  $m$  such that  $\lambda \subset m^{n+1}$ , cf. [Remark 2](#) at the end of the previous section).



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