

# ~~Algebraic commutative (4 boxes)~~

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~~Atiyah-Macdonald "Intro to commut. algebra" chapters 2  
Matsuda presenter & geometric details of algebraic commutative.~~

→ Comparison via blow-up

$Y \subset X$  both smooth projective varieties defined by  $0 \rightarrow \mathcal{I} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_Y \rightarrow 0$ .  
 $\therefore \mathcal{I} = \bigoplus_{d \geq 0} \mathcal{I}^d$ ,  $\mathcal{I}^d = d$  power of  $\mathcal{I}$  &  $\mathcal{I}^0 = \mathcal{O}_X$

$$\Rightarrow \tilde{X} = \text{Proj}(\mathcal{I}) \xrightarrow{\pi} X \quad \text{the blow-up along } Y$$

$$\begin{array}{ccc} & \uparrow j & \uparrow z \\ \tilde{Y} & \xrightarrow{p} & Y \end{array}$$

such that  $\tilde{Y}$  is now a divisor on  $\tilde{X}$ ,  $\pi|_{\tilde{X} - \tilde{Y}}$  is isomorphism with  $X - Y$ ,  $\tilde{Y}$  has ideal sheaf  $\mathcal{O}(-1)$ ,  $\tilde{X}$  and  $\tilde{Y}$  are smooth proj varieties ( $\tilde{Y} = \text{Proj}(\mathcal{I}/\mathcal{I}^2) \xrightarrow{p} Y$  is a proj bundle with  $\mathcal{E} = \mathcal{I}/\mathcal{I}^2$  rank =  $\dim X - \dim Y$ ,  $\pi$  is biat, proper (surjective)).

→ The functor to look at:  $L\pi^*: \mathcal{D}(X) \rightarrow \mathcal{D}(\tilde{X})$

Lemma:  $\forall A, B \in \mathcal{D}(X)$   $\exists$  isomorphism  $R^i \text{Hom}(L\pi^*A, L\pi^*B) = R^i \text{Hom}(A, B)$ .  
In this way, the functor  $L\pi^*$  is faithful and full embedding.

Dem. - Standard as before, using loc. free resolution, projection formula &  $R^i \pi_* \mathcal{O}_{\tilde{X}} = \begin{cases} \mathcal{O}_X & i=0 \\ 0 & i \neq 0 \end{cases}$  ■

→ Again denote by  $\mathcal{D}(X)_0$  the image of  $\mathcal{D}(X)$  in  $\mathcal{D}(\tilde{X})$   
we have complete semi-orthogonal  $(\mathcal{D}(\tilde{Y})_{-r+1}, \dots, \mathcal{D}(\tilde{Y})_0)$  of  $\mathcal{D}(\tilde{Y})$ , where  $r = \text{codim}(Y, X)$  and  $\mathcal{D}(\tilde{Y})_0 = p^* \mathcal{D}(Y)$ .  
It turns out that  $j_*: \mathcal{D}(\tilde{Y}) \rightarrow \mathcal{D}(\tilde{X})$  is not full but

(Del Pezzo (due to Kalkeshero, Dlov) any <sup>full</sup> except. collection is obtained via mutations <sup>the specific</sup> from the obvious mod.) (8)

$Bl_n(\mathbb{P}^2)$   
 $n \leq 8$   
 gen. position  
 (of  $\mathbb{P}^1 \times \mathbb{P}^1$ )  
 but this we know!

- Teo:
- (a) the functor  $j_{*} D(Y)_k \rightarrow D(X)$  (restriction  $j^*$ ) is full and faithful embedding.
  - (b) If  $D(Y)_k \subset D(X)$  is the image of  $D(Y)_k$  under  $j_{*}$   $\Rightarrow (D(Y)_{-r+1}, \dots, D(Y)_{-1}, D(Y)_0)$  is semiorthogonal.
  - (c) the set in (b) is complete, i.e., generates  $D(X)$ .
  - (d) If  $D(X)$  &  $D(Y)$  have complete exceptional sets  $\Rightarrow D(X)$  has.
- Proof: see the paper (D. Orlov "Proj bundles, mixed tors, and derived coh sheaves" 1992)

$\rightarrow$  How to get exceptional sets on varieties? what bounds the length? when complete? Questions will be taken on surfaces.

oly For curves  $X$  of genus  $g \geq 1$ , any semiorthogonal complete set is trivial (S. OKAWA "Semi-orthog. decomp. of d.c. of a curve")  
 (It is proved that: if  $D^b(X) = \langle A, B \rangle \Rightarrow$  every  $E \in \text{coh}(X)$ ,  $0 \rightarrow b \rightarrow E \rightarrow a \rightarrow 0$ ,  $b \in B$ ,  $a \in A$  but also any coherent sheaf in  $B$  must be torsion  $\Rightarrow A$  contains all ~~torsion~~ free and they generate  $D^b(X)$ )

But in surfaces we have a lot going on! (check Orlov for Ser. cat. + surface)

oly Grothendieck group =  $K_0(X)$  = <sup>abelian</sup> free generated by all coherent /  $\mathcal{F} - \mathcal{F}' - \mathcal{F}''$   
 where  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$

For  $X$  nonsingular, one can compute  $K_0(X)$  with locally free sheaves (via finite resolutions of coherent sheaves). This allows to extend Chern classes to  $K_0(X)$  via  $0 \rightarrow \mathcal{E}_1 \rightarrow \mathcal{E}_2 \rightarrow \mathcal{E}_3 \rightarrow 0$   $c_t(\mathcal{E}_2) = c_t(\mathcal{E}_1) \cdot c_t(\mathcal{E}_3)$

$\Rightarrow$  There is a map  $c_t: K_0(X) \rightarrow A(X)[t]$ ,  $A(X)$  = Chow-ring and a ring isomorphism  $ch: K_0(X) \otimes \mathbb{Q} \rightarrow A(X) \otimes \mathbb{Q}$  ( $K_0(X)$  has mult via vector bundles using  $\otimes$ )  $ch(\mathcal{E}) = \sum e^{a_i}$  ( $r = \text{rank}$ ) (see Hart. p. 432) and  $c_t(\mathcal{E}) = \prod_{i=1}^r (1 + a_i t)$ , (where  $\text{rank}(\mathcal{E}) = \dim_{K(X)} \mathcal{E}_z$ ,  $z$  = generic point)

... This ends up together with  $f^*, f_*$  for  $f: X \rightarrow Y$  proper in Grothendieck-Riemann-Roch...

In our case,  $X = \text{smooth proj surface}$ ,  $A(X) = A^0(X) \oplus A^1(X) \oplus A^2(X)$

$\mathbb{Z}$  ~~PIC(X)~~ "no cycles up to not comm (does not need to be finitely generated)"

→ Connection with  $D(X)$ : there is a way to talk about  $K_0(D(X))$  and  $K_0(X) \cong K_0(D(X))$  (using exact  $\Delta$  instead of short exact sequences)

It can be proved that given a semiorthogonal decomposition  $D(X) = \langle A, B \rangle \Rightarrow K_0(X) = K_0(A) \oplus K_0(B)$ . Moreover, if  $A = (E_1, \dots, E_n)$  ~~is~~ exceptional set  $\Rightarrow K_0(A) \cong \mathbb{Z}^n$

Nothing to do with rank

→ Putting this together with the isomorphism ch, we have that the length of  $(E_1, \dots, E_n)$  complete exceptional set in  $D(X)$  (or exceptional collection) is  $\dim_{\mathbb{Q}} A(X) \otimes \mathbb{Q}$ .

If  $X$  is a surface, with  $\chi = 0$ ,  $n \leq \rho + 1 + \text{rank}(A^2(X))$ , ~~is~~

obj: Given an except. collection  $(E_1, \dots, E_n)$  of vector bundles on  $X \Rightarrow (E_n^\vee, E_{n-1}^\vee, \dots, E_1^\vee)$  &  $(E_2, E_3, \dots, E_n, E_1(-K_X))$  is

Goal: Construction of exceptional vector bundles & collections (and how to use them for something!)

Result → we will consider mainly  $p_g = 0$  surfaces (taste of the crow & Mumford's Bloch conjecture:  $p_g = 0 = \chi \Rightarrow A^2(X) \cong \mathbb{Z}$ , and so we have a conjectural max for length:  $e(X) = \text{top. Euler char.} = 2 + b_2(X)$ ). (The conj. is for general type) (Mumford proved that  $A^2(X) \neq \mathbb{Z}$  if  $p_g \neq 0$ ) (in fact  $\infty$  dimension)

Lemma: Let  $X$  be smooth proj. surface with  $\chi = p_g = 0$  and  $\bigwedge_{i=1}^r \Gamma_i \subset X$  with  $\Gamma_i \cong \mathbb{P}^1$ . Then  $(\mathcal{O}_X, \mathcal{O}_X(\Gamma_1), \mathcal{O}_X(\Gamma_1 + \Gamma_2), \dots, \mathcal{O}_X(\Gamma_1 + \dots + \Gamma_r))$  is exceptional collection

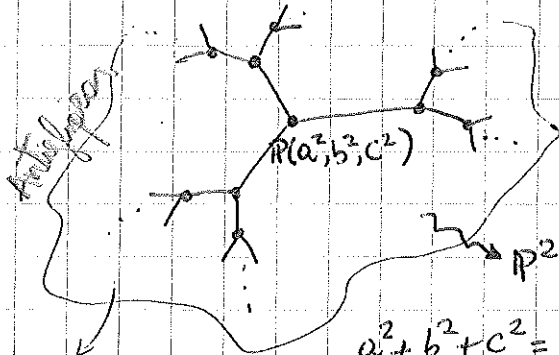
Proof: Notice that for line bundles:  $\text{Ext}^i(\mathcal{O}_X, \mathcal{L}) = H^i(X, \mathcal{L} \otimes \mathcal{K} - \mathcal{O}_X)$  so  $\chi = p_g = 0 \Rightarrow$  every line bundle is exceptional.

• Notice also that  $h^0(\mathcal{O}_\Gamma) = h^1(\mathcal{O}_\Gamma)$  for any  $\Gamma = \bigwedge_{i=1}^r \Gamma_i$  claim rational

(That  $B \xrightarrow{A=\mathbb{P}^1}$  has  $p_2(B+A) = 0$  if  $p_2(B) = 0$  can be checked via adjunction on the surface)

→ How to construct exceptional vector bundles from "moduli degenerations" ( $\mathbb{Q}$ -Gorenstein smoothing) over a one parameter base?

This is the work of Paul Hacking. It is a very strong constr. when  $X$  is rational: let us see  $\mathbb{P}^2$ . ("Excep. bundles on 2 deg. of surfaces")



That is a theorem of Hacking-Parkhovev (see older work of Monetti as well)

Ex:  $2^2 + 5^2 + 29^2 = 3 \cdot 2 \cdot 5 \cdot 29$

$$\begin{matrix} \mathbb{P}(a, b, c) \\ (x, y, z) \sim (ax, by, cz) \\ \mathbb{P} / \langle \dots \rangle \end{matrix}$$

Surfaces are determined by singularities

$a^2 + b^2 + c^2 = 3abc$  (Markov equation)

Mutations  $(a, b, c) \mapsto (a, b, c' = 3ab - c)$  starting with  $(1, 1, 1)$ .

This is Noether's formula for  $\mathbb{P}^2 \rightarrow \mathbb{P}(a, b, c)$

(Funny connection with Poincaré's reciprocity law  $\checkmark$   
 $s(a; b, c) + s(b; c, a) + s(c; a, b) = \frac{1}{12abc} (a^2 + b^2 + c^2 - 3abc)$ )

~~Ex~~ In general, given  $F$  exceptional  $\Rightarrow F^\vee$  &  $F \otimes L$ ,  $L$  line bundle, are exceptional. Rudakov proved (based on Drezet & Poincaré) that up to this operations,  $\{ \text{Exceptional in } \mathbb{P}^2 \} \xleftrightarrow{1-1} \{ \text{solit. of Markov eqn.} \}$

The theorem of Hacking gives construction of exceptional vector bundle for  $\mathbb{Q} \xrightarrow{\mathbb{P}^2} \mathbb{P}^2$  (more generally, see below)

Thm:  $S = \{ \text{isom. classes of normal } \mathbb{Q} \rightarrow \mathbb{P}^2 \}$   
 $T = \{ \text{isom. classes of } E \text{ exc. vect bundles on } \mathbb{P}^2 \}$  / dualization &  $\otimes$  line bundle  
 $\Rightarrow$  the Hacking map  $S \rightarrow T$  is bijective.

Q: How far can we go with this?

Moreover (Hacking) Hacking gives bijection (modulo certain relations) between exceptional collections and collections of singularities above. (11)

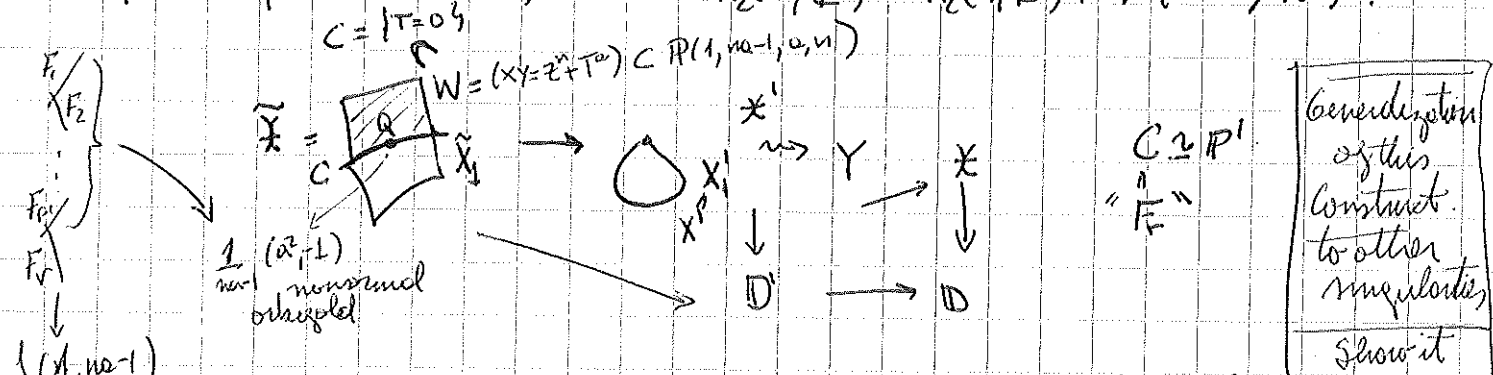
Thm (Paul): ~~Let~~  $X = \mathbb{P}^1 \times_{\mathbb{Z}/n\mathbb{Z}} \mathbb{P}^1 \rightarrow \mathbb{P}^1 = Y$  & Gorenstein smoothing.

Assume  $H^2(\mathcal{O}_Y) = 0$  and  $H_1(Y, \mathbb{Z})$  finite. Then, after a base change  $\mathbb{P}^1 \rightarrow \mathbb{P}^1$  of degree  $a$ , there is  $\mathcal{E}$  reflexive sheaf  $(\mathcal{E} \xrightarrow{\sim} \mathcal{E}^{\vee})$  or  $\mathcal{E}$  torsion free +  $U \subset X$  open with complement of codim  $\geq 2$  at least &  $i_*(\mathcal{E}|_U) = \mathcal{E}$  on  $\tilde{X}' = \tilde{X} \times_{\mathbb{P}^1} \mathbb{P}^1$  such that:

- (a)  $F = \mathcal{E}|_Y$  is exceptional vector bundle of rank  $n$  on  $Y$
- (b)  $\mathcal{E} := \mathcal{E}|_X$  torsion free sheaf on  $X$  s.t.  $\mathcal{E}^{\vee} \simeq A^{\oplus n}$  with  $A =$  reflexive rank 1 and  $\mathcal{E}^{\vee}/\mathcal{E}$  torsion sup. at  $P \in X$ .

If  $\mathcal{H} =$  line bundle on  $\tilde{X}|_{\mathbb{P}^1}$  ample on fibers  $\Rightarrow F$  is slope stable w.r.t  $\mathcal{H} := \mathcal{H}|_Y$  ( $\mu_{\mathcal{H}}(F) := c_1(F) \cdot \mathcal{H} / \text{rank}(F)$ ,  $F$  stable w.r.t  $\mathcal{H}$  if  $\forall W \subset F$  coherent with  $0 < \text{rank}(W) < \text{rank}(F)$  we have  $\mu_{\mathcal{H}}(W) < \mu_{\mathcal{H}}(F)$ )

$c_1(F) = nc_1(A) \in H_2(Y, \mathbb{Z}) \subset H_2(X, \mathbb{Z})$ ,  $c_2(F) = \frac{n-1}{2n} (c_1(F)^2 + n + 1)$   
 $c_1(F) \cdot K_Y \equiv \pm a \pmod{n}$  and  $H_2(X, \mathbb{Z}) = H_2(Y, \mathbb{Z}) + \mathbb{Z}(c_1(F)/n)$ .



- Using homol. & cohom hypothesis  $\Rightarrow \exists$  line bundle  $\tilde{A}$  in  $\tilde{X}_1$  s.t.  $\tilde{A}|_C$  has degree 1.
- Then if  $G$  excep. bundle of rank  $n$  in  $W$  s.t.  $G|_C \simeq \mathcal{O}_C(1)^{\oplus n} \Rightarrow$  glue it with  $\tilde{A}^{\oplus n} \Rightarrow$  get  $\tilde{\mathcal{E}}$  excep. bundle on  $\tilde{X}$  ( $\Rightarrow$  push it to  $\tilde{X}'$ )
- Paul gives const. of  $G$  in  $W$  via induction on  $e$ :

he proves  $\mathbb{P}(1, na-1, a^2) \rightsquigarrow W$

(12)

$$\begin{array}{ccc} \mathcal{O}_{\mathbb{P}^2} & & \mathcal{O} \\ \frac{1}{na-1}(1, a^2) & & \frac{1}{na-1}(1, a^2) \end{array}$$

with a very explicit  $\mathbb{Q}$ -Gorenstein degeneration (smoothing one trivial on the other)  
then there is induction on  $a$  but one (he) makes everything to work for this.  
(see §5 of his paper)

→ There are many examples of this in general type, due to the techniques of Lee & Park (2007). See a list in "KSBAsurfaces with elliptic...".

Q: what do we do with this exceptional bundles?

→ There is also a strategy to construct Exceptional collections due to Paul. Explain & explain in our corner situations. Begin very effective on del Pezzo surfaces.

→ Anne:  $X = \text{num. Godeaux surface (ie } K^2=1, \text{ gen. type, } p_g=0)$

⇒ M. Reid  $H_1(X, \mathbb{Z}) = 0, 2/2, 2/3, 2/4, 2/5$  (lost originally from Godeaux)

she wants to know about  $\left. \begin{array}{l} \text{Wahl deg.} \\ \text{Paul} \end{array} \right\} \rightarrow \left. \begin{array}{l} \text{except. vect. bundles} \\ \text{rank } n \end{array} \right\}$

( $\sim$ : some rank are equiv. dual,  $\mathbb{Q}$  (it could be done more precisely).)

for rank 2 case, ie,  $\frac{1}{4}(2, 1)$ .

•  $\mathcal{O}_{\mathbb{P}^2}(1, 1, 2)$  resolves to a proper elliptic surface (one elongates this into multiple fibers).

• For  $2/5\mathbb{Z}$  this does not happen (ie deg to  $\mathcal{O}_{\mathbb{P}^2}(1, 1, 1)$ )

(For  $X \rightarrow Y \Rightarrow 0 \rightarrow H_1(Y, \mathbb{Z}) \rightarrow H_1(X, \mathbb{Z}) \rightarrow H_1(M, \mathbb{Z}) \rightarrow H_1(Y, \mathbb{Z}) \rightarrow H_1(X, \mathbb{Z}) \rightarrow 0$ )  
(Part of statement of Hocking.)

• For the other cases, there is a degen  $\rightarrow$  vect. bundle ( $\pm$  something) and  $2/5\mathbb{Z}$  there is rank 2 bundle for the set wanted, so no surjective (some for some in  $2/4\mathbb{Z}$ ).